

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
FORT WORTH DIVISION

SECURITIES AND EXCHANGE COMMISSION, §
§
Plaintiff, §
§
vs. §
§ Civil Action No.: 4:17-CV-321-Y
4D CIRCLE LLC a/k/a ENOETICS, §
MANTFORD C. HAWKINS, and §
DAVID E. BELL, §
§
Defendants. §
§

APPENDIX TO THE RECEIVER'S FIRST QUARTERLY STATUS REPORT

Receiver, Lars L. Berg ("Receiver"), files this Appendix to the Receiver's First Quarterly Status Report. This appendix contains the following documents:

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<u>Exhibit</u>	<u>Document</u>	<u>Page No.</u>
1.	Consolidated Financial Statement For 4D Circle For the Quarter Ended 6/30/17.	App. 1
2.	Cash Summary For 4D Circle For the Quarter Ended 6/30/17.	App. 2
3.	Income Statement For Operations of Liberty Bank Building For the Quarter Ended 6/30/17.	App. 3
4.	Income Statement For Operations of Lancaster Apartments For the Quarter Ended 6/30/17.	App. 4
5.	Income Statement For Operations of Stratton Apartments For the Quarter Ended 6/30/17.	App. 5
6 .	Spread Sheet of All Properties related to 4D Circle.	App. 6
7.	Spreadsheet of Known Creditors	App. 7-9

Respectfully submitted,

/s/ Lars L. Berg

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**COURT-APPOINTMENT
RECEIVER/ATTORNEYS
FOR 4D CIRCLE, LLC**

CERTIFICATE OF SERVICE

I hereby certify that, on July 31, 2017, a true and correct copy of the foregoing was served via CM/ECF to all parties of record.

/s/ Lars L. Berg

Lars L. Berg

**4D Circle - Consolidated Income Statement
For the Quarter Ended 6/30/17**

	Quarter Ended 6/30/2017	Duration of Receivership
<i>Income</i>		
Rental Income	\$ <u>338,723.70</u>	\$ <u>338,723.70</u>
Total Income	\$ <u>338,723.70</u>	\$ <u>338,723.70</u>
<i>Expenses</i>		
Operating Expenses	\$ 264,019.69	\$ 264,019.69
Interest Expense	\$ <u>55,000.00</u>	\$ <u>55,000.00</u>
Total Expenses	\$ <u>319,019.69</u>	\$ <u>319,019.69</u>
Net Income	\$ <u>19,704.01</u>	\$ <u>19,704.01</u>

EXHIBIT 1

Cash Summary - Worthington National Bank

<i>Stratton Apts. Acct.</i>	xxxx-915	4/30/17	5/31/17	6/30/17
Credits			37,567.00	31,825.00
Debits	<u>(5.00)</u>	<u>(29,246.67)</u>	<u>(31,475.57)</u>	
Net Cash for Month	<u>(5.00)</u>	<u>8,320.33</u>	<u>349.43</u>	
Cumulative Cash	<u>(5.00)</u>	<u>8,315.33</u>	<u>8,664.76</u>	
<i>Lancaster Apts. Acct.</i>	xxxx-088			
Credits			46,946.00	43,824.00
Debits	<u>(5.00)</u>	<u>(32,127.42)</u>	<u>(40,083.30)</u>	
Net Cash for Month	<u>(5.00)</u>	<u>14,818.58</u>	<u>3,740.70</u>	
Cumulative Cash	<u>(5.00)</u>	<u>14,813.58</u>	<u>18,554.28</u>	
<i>Liberty Bank Bldg.</i>	xxxx-070			
Credits			115,414.17	80,093.59
Debits	<u>(5.00)</u>	<u>(78,217.65)</u>	<u>(91,323.51)</u>	
Net Cash for Month	<u>(5.00)</u>	<u>37,196.52</u>	<u>(11,229.92)</u>	
Cumulative Cash	<u>(5.00)</u>	<u>37,191.52</u>	<u>25,961.60</u>	
<i>4D Circle</i>	xxxx-137			
Credits			144,718.60	3.10
Debits	<u>(5.00)</u>	<u>(34,340.00)</u>	<u>(38,898.57)</u>	
Net Cash for Month	<u>(5.00)</u>	<u>110,378.60</u>	<u>(38,895.47)</u>	
Cumulative Cash	<u>(5.00)</u>	<u>110,373.60</u>	<u>71,478.13</u>	
Total Cash - All Entities	<u>(20.00)</u>	<u>170,694.03</u>	<u>124,658.77</u>	

4D Liberty LLC
For the Quarter Ended 6/30/17

Income

Rental Income	\$ 172,215.84
Other Income	<u>7,873.86</u>
Total Income	\$ <u>180,089.70</u>

Expenses

Operating Expenses	\$ 126,475.16
Interest Expense	<u>55,000.00</u>
Total Expenses	\$ <u>181,475.16</u>
Net Income	\$ <u>(1,385.46)</u>

EXHIBIT 3

**4D Circle Lancaster
For the Quarter Ended 6/30/17**

Income

Rental Income	89,408.50
Other Income	<u>309.50</u>
Total Income	<u>89,718.00</u>

Expenses

Operating Expenses	73,366.98
Interest Expense	<u>-</u>
Total Expenses	<u>73,366.98</u>
Net Income	<u>16,351.02</u>

**4D Circle Stratton
For the Quarter Ended 6/30/17**

Income

Rental Income	68,821.18
Other Income	<u>94.82</u>
Total Income	<u>68,916.00</u>

Expenses

Operating Expenses	64,177.55
Interest Expense	<u>-</u>
Total Expenses	<u>64,177.55</u>
Net Income	<u>4,738.45</u>

4D Circle Properties - Status				
	Name of Property	Specific 4D Circle Subsidiary Involved	Property Description	Current Control of Property
1	Liberty	4D Liberty LLC	Office building	Receivership
2	Lancaster	4D Lancaster Property LLC	Apartments	Receivership
3	Stratton	4D Stratton LLC	Apartments	Receivership
4	Executive Towers	4D Executive Tower LLC	Office building	Matthews Group (Note 1)
5	Westfork	4D Westfork LLC	Collection of properties slated for development	Matthews Group
6	Sivraj	4D Sivraj LLC	Office building	Jarvis (Note 2)
7	Springbrook	4D Springbrook LLC	Apartments	Foreclosed
8	4D Lofts	4D Lofts LLC	Apartments	Foreclosed
9	Tejas	4D Tejas LLC	Office building	Sold
10	Las Brisas	4D Las Brisas LLC	Apartments	Sold
11	Chiquita	4D Chiquita LLC	Apartments	Sold
12	E-Normont	E-Normont LLC	14 duplexes taking entire street	Sold

Note 1 - The Matthews Group refers to Jeff Matthews, Richard Hill, and Greg Pierce, who all work as finance professionals and were involved with, and investors in, 4D Circle.

Note 2 - Jarvis refers to Roger L. Jarvis and a group of his family-related trusts that invested in 4D Circle.

LIST OF KNOWN CREDITORS			
	Name and Address	Amount Claimed	Description
1	Investors listed in Exhibit 7.A	9,753,553.04	Investors in 4DC
2	Fort Worth Police Officers Assn 2501 Parkview Dr. Suite #600 Fort Worth, Texas 76102	Lawsuit filed and claimed damages not yet established.	Lawsuit filed asserting claims related to investment in a construction project.
3	Graham Mortgage 3838 Oak Lawn Avenue #1250 Dallas, Texas 75219	4,000,000.00	Mortgage on Liberty Bank
4	7001 Partners Post Office Box 1986 Fort Worth, TX 76101-1986	700,000.00	Second Mortgage on Liberty Bank
5	Standard Insurance 19225 NW Tanasbourne Dr. Hillsboro, OR 97124	3,441,127.39	Mortgage on Lancaster Apartments and Stratton Apartments (single loan secured by both properties)
6	Centre Technologies, Inc. 5057 Keller Springs Road Addison, TX 75001	175,565.77	IT Services provided at various properties
7	Rama Services 2000 Lone Star Drive Dallas, TX 75212	3,800.00	Services rendered and lawsuit filed
8	Isaac Correa d/b/a Absolute Solutions Handy Man Service c/o William M. Jacob 100 N Central Exp. #330 Richardson, TX 75080	12,530.00	Services rendered and lawsuit filed

Exhibit A

4D CIRCLE LLC
Investor Summary

Investor Name	Address	City	State	Zip Code	Investment Total
Reuben Easterling	3060 Pharr Court N. Apt. 715	Atlanta	GA	30305	25,000.00
Trent Hardin	125 Serrano	Weatherford	TX	76087	25,000.00
Jayson Coombes	PO Box 1233	Frisco	TX	75034	40,000.00
Erick "Shane" Edwards	16142 Marlove Rd.	Madill	OK	73446	40,000.00
Joshua Merrill	212 Little Cat Track Rd	Weatherford	TX	76085	30,000.00
Chris MacFarland	5 Heather Glen Circle	Trophy Club	TX	76262	100,000.00
Chris Alpert	3454 Potomac Ave	Dallas	TX	75205	25,000.00
Laquette Bradshaw	317 W. Blanco Drive	Hobbs	NM	88240	24,374.98
Joy Peek	#1 Knightsbridge Place	Texarkana	TX	75503	50,000.00
Michele Peek	6816 Brants Lane	Fort Worth	TX	76116	25,000.00
Stephen Mills	14108 Rigney Drive	Midlothian	VA	23113	71,082.24
Dan Southard	3609 Lawndale	Fort Worth	TX	76133	25,000.00
Eric D. Strafel	4920 Joshua	Flower Mound	TX	75028	25,000.00
Jeffrey Scott Jiles	3301 Hollow Creek	Arlington	TX	76001	200,000.00
Maureen Solomon	4339 Bellaire Drive S	Fort Worth	TX	76109	50,000.00
Bob L and Sharon W Braun	12503 Beauline Abbey St	Tomball	TX	77377	100,000.00
Sunwest Trust FBO Cynthia Kay Whitaker IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	100,000.00
Sunwest Trust FBO Jeffrey M. Matthews IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	200,000.00
	16 Canyon Crest Court	Frisco	TX	75034	
Midland IRA, Inc. FBO Stephen Mills #1637702	P. O. Box 07520	Fort Myers	FL	33919	60,000.00
	14108 Rigney Dr.	Midlothian	VA	23113	
Sunwest Trust FBO Eileen Isola IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	100,000.00
	5251 Apache Plume Rd #13106	Fort Worth	TX	76109	
Red Dot/Blue Dot OAT (James Webb) (JM)	5729 Lebanon Rd, Suite 144-202	Frisco	TX	75034	1,000,000.00
Yellow Dot/Brown Dot OAT (Ted Groesbeck) (JM)	5729 Lebanon Rd, Suite 144-202	Frisco	TX	75034	500,000.00
Robert Alpert	8737 Canyon Dr	Dallas	TX	75209	
Gregory Dunaway	1000 Hazel Drive	Lantana	TX	76226	100,000.00
John Cech (CDA Rollover)	2203 Abby Lane	Trophy Club	TX	76262	500,000.00
JHR Interests Corporation/Jason H. Reed	3324 Miro Place	Dallas	TX	75204	200,000.00
Penrose Property Fund, LLC	604 E. 4th St. #201	Fort Worth	TX	76102	250,000.00
Fahmi Karam	5515 Clermont Ct	Colleyville	TX	76034	100,000.00
Robert L. Clemens	4700 Cresthaven Dr	Colleyville	TX	76034	100,000.00
Robert T. Rhodes (CDA Rollover)	2833 Oakbriar Trail	Fort Worth	TX	76109	400,000.00
Jeffrey Scott Jiles	3301 Hollow Creek	Arlington	TX	76001	200,000.00
Midland IRA, Inc. FBO Scott A. Hagood IRA #0231317	P.O. Box 07520	Fort Myers	FL	33919	250,000.00
	P.O. Box 2418	Chicago	IL	60690-2418	
Britt Fair (CDA Rollover)	2809 Hanover	Dallas	TX	75225	49,166.66

Exhibit A

4D CIRCLE LLC
Investor Summary

Investor Name	Address	City	State	Zip Code	Investment Total
Ken Fisk	3003 Hickory Grove Ct.	Fairfax	VA	22031	150,000.00
Priscilla Gould (CDA Rollover)	5165 Verde Valley Ln. Apt. 2427	Dallas	TX	75254	85,000.00
Jeffrey M. Matthews and Amy N. Brigman (Rollover)	16 Canyon Crest Court	Frisco	TX	75034	374,125.00
John Godbey (CDA Rollover)	1642 Crooked Creek Dr.	Carrollton	TX	75007	250,000.00
Timothy Tatarowicz	3681 Shady Creek Ct	Frisco	TX	75033	100,000.00
Hunter Shadburne	1725 Deerfield Dr.	Austin	TX	78741	75,000.00
ESSF Ventures (Chris Elley)	4813 Woodview	Austin	TX	78756	100,000.00
Bret Tomlinson	1004 Thornridge Ct.	Argyle	TX	76226	50,000.00
Samuel W. Allison	5124 Pine Avenue	Waco	TX	76710	50,000.00
Britt Lewis Fair Trust	2809 Hanover	Dallas	TX	75225	100,000.00
Curtis D. Karges	3131 Memorial Ct. #22113	Houston	TX	77007	75,000.00
Matthew Lewis	1815 Chatfield Ln	Lucas	TX	75002	50,000.00
John E. Grimes	5626 Stonegate Rd	Dallas	TX	75209	100,000.00
Burr Family Trust (Michael J. Burr)	308 S. Vine St.	Hinsdale	IL	60521	100,000.00
Texas Size Properties (Grady Hobbs)	216 James Drive	Heath	TX	75032	50,000.00
Merritt Plus, LLC (John Paul Merritt)	4245 N. Central Expressway	Dallas	TX	75205	50,000.00
Hunter Carpenter	3889 Maple Avenue, Suite 600	Dallas	TX	75219	50,000.00
Sunwest Trust, Inc. FBO Deepak Kosla IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	99,700.00
	862 Acadian Garden	Orleans	ON	K1C 2V6	
7338 McHenry St. LTD/Morton L. and Thelma Rubin	822 Cardinal Flower Dr	Richmond	TX	77469	531,550.00
WFD Lewes LLC/William F. D'Alonzo	8094 Vizcaya Way	Naples	FL	34108	100,000.00
Pony Oil, LLC/John Paul Merritt	4245 N. Central Expressway, #320, Box 109	Dallas	TX	75205	650,000.00
Roger L. Jarvis	19455 Juergen Road	Cypress	TX	77433	1,025,369.16
Roger L. Jarvis/Morris L. Monroe CoT of Biana L Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Roger L. Jarvis/John R. Jarvis CoT of John Robert Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Roger L. Jarvis/Morris L. Monroe CoT of Jacob Roger Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Roger L. Jarvis/Morris L. Monroe CoT of Gary Evan Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Hani Omar Taan	19455 Juergen Road	Cypress	TX	77433	98,637.00
Polymathex, LLC (Matt Neyland)	1361 Misty Cove	Rockwall	TX	75084	60,000.00
Texhoma Capital Partners LLC (JM/GP)	5729 Lebanon Rd, Suite 144-202	Frisco	TX	75034	20,000.00
					\$9,753,553.04